



**THE SERVICE FOR
PREVENTION AND CONTROL
OF MONEY LAUNDERING**



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ORDER

8 June 2018

no. 18

***On reporting of activities or transactions
falling under the Law on prevention and
fight against money laundering and
terrorism financing***

Pursuant to the provisions of art. 19 para. (1) lett. f), art. 22 para. (1) lett. k), art. 22 para. (2), art. 37 para. (10), para. (12) in Law no. 308 of 22.12.2017 “on prevention and fight against money laundering and terrorism financing”,

I HEREBY ORDER:

1) Approval of “Guidelines for filling-in and submission of special forms for activities or transactions falling under the Law on prevention and control of money laundering and terrorism financing” as per annex no. 1;

2) Approval of “Special forms for transactions falling under the Law on prevention and control of money laundering and terrorism financing for the following reporting entities”:

- a) financial institutions, as per annex no. 2;
- b) securities market professionals, as per annex no. 3;
- c) non-state pension funds, as per annex no. 4;
- d) savings and loans associations, except for those not holding an A-class license, as per annex no. 5;
- e) micro-financing organizations, as per annex no. 6;
- f) mortgage loan organizations, as per annex no. 7;
- g) credit history offices, as per annex no. 8;
- h) insurance market professionals, as per annex no. 9;
- i) foreign exchange units (except banks), as per annex no. 10;
- j) entities offering investment or trust fund assistance, as per annex no. 11;
- k) lessors, private individuals or legal entities, undertaking entrepreneurial activities that assign, based on provisions of a leasing contract, to lessees, on their request, for a certain time period, the right of possession and use for a good under their

property, with or without assignment of the title on the good on expiration of the contract, as per annex no. 12;

l) real estate agents, as per annex no. 13;

m) casinos, leisure facilities provided with gambling machines, institutions organizing and performing lotteries or gambling activities, as per annex no. 14;

n) dealers of precious materials or precious stones, as per annex no. 15;

o) notaries and other freelancers, as per annex no. 16;

p) attorneys-at-law, as per annex no. 17;

q) auditors, independent accountants, as per annex no. 18;

r) organizations authorized to deliver services related to exchange of money orders or transfer of goods, as per annex no. 19;

s) providers of payment services and issuers of electronic currency, as per annex no. 20;

3) The special form for suspicious activities falling under the Law on prevention and control of money laundering and terrorism financing shall be used by all reporting entities, as per annex no. 21.

2. On effective date of this order, the Order no. 117 dated 20.11.2007 “on reporting of activities or transactions falling under the Law on prevention and control of money laundering and terrorism financing” (Official Gazette no. 198-202 art. 731 dated 21.12.2007) shall be abrogated.

3. Interaction with reporting entities, bodies responsible for supervision thereof and for control on enforcement of this order shall be provided by the Office for Prevention and Fight against Money Laundering.

4. These Instructions shall come into force on August 23rd 2018.

Director

Vasile ȘARCO

GUIDELINES
for filling-in and submission of special forms
for activities or transactions falling under the Law
on prevention and control of money laundering
and terrorism financing

Section I
General provisions

1. These Guidelines for filling-in and submission of special forms for activities or transactions falling under the Law on prevention and control of money laundering and terrorism financing (hereinafter - the Guideline) are elaborated based on art. 11 of Law no. 308 dated 22.12.2017 “on prevention and control of money laundering and terrorism financing” (hereinafter - Law no. 308).

2. Requirements of these Guidelines apply to reporting entities set forth in art. 4 in Law no. 308, having the obligation to submit the special forms.

3. When the reporting entities establish an efficient system for presentation of information, strict adherence shall be provided to Law no. 308, regulatory documents issued for the application thereof, recommendations of bodies responsible for supervision or self-regulation of professions, especially in the field of identification of private individuals and legal entities, of the beneficial owner, of implementation of increased caution measures, data keeping, compliance with precautionary measures.

4. In the meaning of these Guidelines, the following terms shall be used:

guide of suspicious activities or transactions - regulatory document approved by the Office for Prevention and Fight against Money Laundering, establishing the rules for determination of the nature of any activity or transaction that the reporting entity considers susceptible - based on its nature - to be related to money laundering or terrorism financing, whether on-going or completed;

client - private individual or legal entity, association or group of individuals acting jointly, whether incorporated or not;

transaction partner - private individual or legal entity, assigned, under a transaction, to receive a certain amount of money (or other goods) or, as the case may be, the payer of such amount to the client;

limited transaction - activity or transaction performed by bank transfer, by a private individual or legal entity, inclusively in their name, through an operation that is equivalent to or in excess of MDL 500 thousand;

cash transaction – activity or transaction performed in cash, through an operation of at least MDL 100 thousand (or the equivalent thereof), or through several presumably related operations in cash.

presumably related operations – all operations, performed by one or more individuals, having the same object, with prior coordination and that pursue common goals and intentions.

Section II
Contents and filling-in of the special form for
transactions

5. The special form shall be filled in for each transaction falling under art. 5 in Law no. 308 on prevention and control of money laundering and terrorist financing, Methodology for identification of suspicious activities and transactions, Guide of suspicious activities or transactions, as well as in case of limited transactions and cash transactions.

6. The special form is numbered and dated by the reporting entity, and in case of cash transactions performed through several presumably related operations, the form must also indicate the time period when financial transactions were performed, including a period of 10 calendar days starting with the first day of reporting month and ending with the last day of the calendar month.

7. In item L/S/N criterion (FL00_3) in forms, the reporting entity must indicate the type of operation: limited, suspicious or in cash, depending on which the number and the total amount of transactions shall be reflected. For cash transactions, the forms shall be filled in for one or more operations performed.

8. When filling in the special form for terrorist financing suspicious transactions, in the FL00_3 field the reporting entity shall enter criterion T.

9. In item "Reason for suspicion" the reporting entity shall indicate the reasons for which that transaction is considered suspicious, as per provisions in the Guide of suspicious activities or transactions and criteria established as per its own approved programs and policies.

10. In case the operation is performed through lawyers, the form shall be filled in with such lawyer's data.

Section III

Contents and filling-in of the special form for suspicious activities

11. The special form shall be filled in for each suspicious transaction falling under para. (1) art. 5 in Law no. 308 on prevention and control of money laundering and terrorism financing, Methodology for identification of suspicious activities and transactions or the Guide of suspicious activities or transactions.

12. The special form for suspicious activities shall be reported in the special form set forth in annex no. 21 hereto.

13. Annexes to special forms for suspicious activities, including those regarding terrorist financing, shall be submitted together with the special forms.

14. Transactions in the annex of the form for suspicious activities to be presented to the Service shall be reported as per special forms for transactions set forth herein, indicating criterion "A" in the FL00_3 field.

Section IV

Submission and keeping of special forms

15. Using the established pathways, the special forms shall be remitted to the Office for Prevention and Fight against Money Laundering using the electronic file with .xml, .xls, .xlsx and .dbf extensions or filling in the form in the web application, using the description of items in electronic form (as per annex no. 22).

Reception of data sent by these means shall be confirmed immediately by the Office for Prevention and Fight against Money Laundering, in electronic form.

In case forms cannot be submitted through electronic mail, they may be submitted on hard copy or on magnetic storage media in sealed envelope, confirmed by the signature of individual responsible for data submission by application of reporting entity's seal, through the Secretariat of the Office for Prevention and Fight against Money Laundering.

16. The reporting entity is responsible for correct elaboration of forms in strict compliance with this Order, and for continuous training of employees responsible for elaboration of forms to provide homogenous data.

17. The reporting entity shall:

- a) Fill-in the fields of forms as per annexes hereto;
- b) Indicate the real name of clients, partners, financial institutions and addresses thereof, not allowing other characters (figures, letters, punctuation marks, etc.);
- c) Include the text of suspicion clue(s) in the FL16 field as per the Guide of suspicious activities or transactions, and in case the suspicion clue is not set forth in the Guide, the reporting entity shall describe the suspicion in a coherent and exhaustive manner;
- d) Fill-in the data in fields FL32_1, FL32_2, FL52_1, FL52_2 (VAT number, personal number, IDNP, account no.) in strict compliance with data in documents presented by the client;
- e) Prevent double reporting of the same transaction during the reporting process;
- f) Report the type of client and partner (FA/FS/JA/JS) as per provisions of annex no. 22;
- g) When reporting transfers through the rapid payment system, the reporting entity shall classify with index IC reception of financial means by the client, and DC sending of financial means by the client;
- h) When reporting cash transactions performed through several operations, the reporting entity shall report only presumably related operations, as per provisions of para. (2) art. 11 in Law no. 308.

18. In order to provide compliance with requirements set forth in point 16 and point 17, the reporting entity shall take all actions necessary for continuous update of software programs used for filling in and submission of special forms in electronic format, to the end of preventing systemic errors.

19. The data on financial operations shall be kept in the secured database of the Office for Prevention and Fight against Money Laundering.

20. The Office for Prevention and Fight against Money Laundering shall notify to the reporting entities, each time possible, the results of assessment of data submitted thereby.

*Annex no. 2 to Order
no. 18 dated June 8th 2018*

Special form for financial institutions

Criterion (L/S/N/T): FL00_3

Form No. _____ FL01	Number of transactions:	FL00_4
Date / period _____ FL00_1_1, FL00_1_2	Total amount (in MDL):	FL00_5
Financial organization performing the transaction: FL03	VAT number:	FL04
	Account:	FL05
1. Data on financial operation:		
1.1 Type of operation, category of operation	FL11	FL00_6
1.2 Amount of operation:	Code of foreign currency and of national currency	Amount (in figures)
	FL13	FL12
Equivalent in MDL	FL15	
Internet Protocol address from where banking operations are managed	FL17	
1.3 Destination of payment and object of the contract:	FL62	
1.4 Date and time of the operation:	FL63_1	FL63_2
1.5 Name, no. and date of the document:	FL61_1	FL61_2
2. Data on the client performing the operation		
Type of client (legal entity / private individual):	FL30	
2.1 Denomination / name:	FL31	
2.3 Account no. and VAT number / Account no. and IDNP	FL32_1	
	FL32_2	
2.4 Head-offices / domicile:	FL33	
2.5 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33	
2.6 Series, number and date of ID document, issuer:	FL34	
2.7 Contact data (phone, e-mail)	FL34_1	
2.8 Beneficial owner	FL31_1	
2.8.1 IDNP/personal number of beneficial owner	FL31_2	
2.8.2 Country of beneficial owner	FL31_3	
2.8.3 Code of the country of beneficial owner	FL00_7_31	
2.9 Data on client's representative: a) Representation document, no. and date b) Denomination / name: c) VAT number / IDNP d) Head-offices / domicile	FL35_1	
	FL35_2	
	FL35_3	
	FL35_4	
3. Bank of transaction partner:		
3.1 Name of bank:	FL41_1	
3.2 Head-offices and country of incorporation	FL41_2	
3.3 Country code:	FL00_7	
3.4 Corresponding account of bank:	FL42	
4. Data on transaction partner:		
Type of partner (legal entity / private individual):	FL50	
4.1 Denomination / name:	FL51	
4.2 Account no.	FL52_1	
4.3 VAT number / IDNP:	FL52_2	
4.4 Head-offices / domicile:	FL52_3	
4.5 Code of partner's country:	FL00_7_52	
4.6 Series, number and date of ID document, issuer:	FL53	

4.7 Data on partner's representative:			
a) Representation document, no. and date		FL45_1	
b) Denomination / name:		FL45_2	
c) VAT number / IDNP		FL45_3	
d) Head-offices / domicile		FL45_4	
5. Reasons for suspicion			
FL16			
6. Name, position and signature of the organization official who recorded the financial operation / ID code:			
6.1 _____ FL71_1	_____ FL71_2	_____	L.
Surname, name	Position	Signature	S.
6.2 _____ FL00_8			
_____ ID code			

<i>Annex no. 3 to Order no. 18 dated June 8th 2018</i>		
Special form for securities market professionals (brokerage, dealer, depositing, stock exchange, clearing activities, etc.)		
Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L):	FL00_3
	Quantity V/M:	FL00_4
	Total amount (in MDL):	FL00_5
Company (professional) who performs the operation: FL03	VAT number:	FL04
	Account:	FL05
1. Data on the operation of assignment of title on securities:		
1.1 Type of operation (BPD-V/C; BPD-SD; BPI-VC; EB-VC; EB-SD)	FL11	
1.2 Category of operation (IC/DC)	FL00_6	
1.3 Amount of operation (MDL)	FL15	
1.4 VAT number of issuer (IDNO code) // name of issuer of security // ISIN code // par value of one security (in figures in MDL) // total number of securities // share capital amount (Example: "1003602017918// Avicola SA// MD14AVC01000// 566906 un.// 5669060.00 MDL")	FL00_9	
1.5 Name of the document (contract notice, protocol, donation, court decision, etc.) // number of document // date of document based on which the assignment or direct transfer of security title was made	FL41_2	
1.6 Number of assignment disposition (or of disposition given to the nominated holder) // date and time of registration	FL61_1 FL61_2	
1.7 Date and time of recording of operation – start (month/day/year, hour and minute to be shown in figures)	FL02_1	
1.8 Date and time of settlement of operation – end (month/day/year, hour and minute to be shown in figures)	FL02_2	
1.9 Trading price of securities object of operation (in figures and words) // number of securities object of operation (in figures and words) // amount of operation performed (in figures and words)	FL62	
2. Data on the client delivering the securities:		

2.1 Type of client: legal entity / private individual, domestic or foreign (J/A; J/S; F/A; F/S)	FL 30
2.2 Name of legal entity or surname and name of private individual	FL31
2.4 No. of settlement account or of cash issuance / reception order // registration date (month/day/year)	FL32_1
2.5 No. of brokerage account or of holder's personal account // date (month/day/year) and time of registration // quantity of securities (in figures)	FL35_4
2.6 VAT number of legal entity or private individual (IDNO or IDNP)	FL32_2
2.7 Address of legal entity's head-offices or of private individual's domicile // contact data, phone number, etc.	FL33
2.7.1 Code of the country of origin of the ID document presented	FL00_7_33
2.8 Series and number of ID document // date of issuance (month/day/year) // issuing body	FL34
2.8.1 Contact data (phone, e-mail)	FL34_1
2.9 Beneficial owner	FL31_1
2.9.1 IDNP/personal number of beneficial owner	FL31_2
2.9.2 Country of beneficial owner	FL31_3
2.9.3 Code of the country of beneficial owner	FL00_7_31
2.9 Representation document // registration number // date of issuance (month/day/year)	FL35_1
2.9.1 Data on representative (surname and name) // address of domicile or residence (for non-residents) // contact data, phone no. etc.	FL35_2
2.10 VAT number / IDNP or registration number with RM / IDNP;	FL35_3
3. Data on the partner receiving the securities:	
3.1 Type of partner: legal entity / private individual, domestic or foreign (J/A; J/S; F/A; F/S)	FL50
3.2 Name of legal entity or surname and name of private individual	FL51
3.3 No. of settlement account or of cash issuance / reception order // registration date (month/day/year)	FL52_1
3.4 No. of brokerage account or of holder's personal account // date (month/day/year) and time of registration // quantity of securities (in figures)	FL45_4
3.5 VAT number of legal entity or private individual (IDNO or IDNP)	FL52_2
3.6 Address of legal entity's head-offices or of private individual's domicile // contact data, phone number, etc.	FL52_3
2.7.1 Code of the country of origin of the ID document presented	FL00_7_33
2.8 Series and number of ID document // date of issuance (month/day/year) // issuing body	FL34
2.8.1 Contact data (phone, e-mail)	FL34_1

2.9 Beneficial owner	FL31_1		
2.9.1 IDNP/personal number of beneficial owner	FL31_2		
2.9.2 Country of beneficial owner	FL31_3		
2.9.3 Code of the country of beneficial owner	FL00_7_31		
3.8 Representation document // registration number // date of issuance (month/day/year)	FL45_1		
3.9 Data on representative (surname and name) // address of domicile or residence (for non-residents) // contact data, phone no. etc.	FL45_2		
3.10 VAT number / IDNP or registration number with RM / IDNP;	FL45_3		
4. Reasons for suspicion			
FL16			
5. Name, position and signature of the reporting entity official who recorded the financial operation / ID code:			
5.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	.S.
5.2 _____ FL00_8 _____ ID code			

*Annex no. 4 to Order
no. 18 dated June 8th 2018*

Special form for non-state pension funds

Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Non-state pensions fund performing the operation: FL03	VAT number:	FL04
	Account:	FL05
1. Data on financial operation:		
1.1 Type of operation:	FL11	
1.2 Category of operation (IC/DC)	FL00_6	
1.3 Amount of operation: amount in figures	FL12	
1.4 Code of foreign currency and of national currency	FL13	
1.5 Equivalent in MDL: amount in figures	FL15	
1.6. Destination of payment; description of the contract	FL62	
1.7 Date of operation:	FL63_1	
1.8 Time of operation:	FL63_2	
1.9 Document no.	FL61_1	
1.10 Document date	FL61_2	
2. Data on the non-state pensions fund performing the operation:		
2.1 Name of the non-state pensions fund	FL31	
2.2 No. of settlement account or of cash issuance / reception order; registration date	FL32_1	

2.3 Address of head-offices of non-state pensions fund; contact data, phone number, etc.	FL33		
2.4 Series, number and date of ID document, issuer:	FL34		
2.5 Document of representation of the non-state pensions fund; number and date	FL35_1		
2.6 Data on representative (surname and name) // address of domicile or residence (for non-residents) // contact data, phone no. etc.	FL35_2		
2.7 VAT number / IDNP or registration number with RM / IDNP;	FL35_3		
3. Bank of transaction client:			
3.1 Name of client's bank:	FL41_1		
3.2 Head-offices; country of incorporation	FL41_2		
3.3 Code of the country where the transaction partner's bank is registered	FL00_7		
4. Data on the client of the non-state pensions fund, performing the operation:			
4.1 Type of client: legal entity / private individual, domestic or foreign (J/A; J/S; F/A; F/S)	FL50		
4.2 Name of legal entity or surname and name of private individual	FL51		
4.3 No. of settlement account or of cash issuance / reception order; registration date	FL52_1		
4.4 VAT number of legal entity or private individual (IDNO or IDNP)	FL52_2		
4.4.1 Beneficial owner	FL31_1		
4.4.2 IDNP/personal number of beneficial owner	FL31_2		
4.4.3 Country of beneficial owner	FL31_3		
4.5 Address of legal entity's head-offices or of private individual's domicile // contact data, phone number, etc.	FL52_3		
4.6 Series, number and date of ID document, issuer	FL53		
4.7 Document of representation of client; number and date	FL45_1		
4.8 Data on representative (surname and name) // address of domicile or residence (for non-residents) // contact data, phone no. etc.	FL45_2		
4.9 VAT number / IDNP or registration number with RM / IDNP;	FL45_3		
5. Reasons for suspicion			
FL16			
6. Name, position and signature of the organization official who recorded the financial operation / ID code:			
6.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
6.2 _____ FL00_8 _____ ID code			

*Annex no. 5 to Order
no. 18 dated June 8th 2018*

Special form for savings and loan associations			
Form No. _____ Date / Period _____	FL01	Criterion (L/S/N/T):	FL00_3
	FL00_1_1, FL00_1_2	Number of transactions:	FL00_4
		Total amount (in MDL):	FL00_5
Savings and loan association performing the operation: FL03		VAT number:	FL04
		Account:	FL05
1. Data on financial operation:			
1.1 Type of operation:		FL11	
1.2 Category of operation (IC/DC)		FL00_6	
1.3 Amount of operation: amount in figures		FL12	
1.4 Code of foreign currency and of national currency		FL13	
1.5 Equivalent in MDL: amount in figures		FL15	
1.6 Destination of payment; description of the object of the contract		FL62	
1.7 Date of operation:		FL63_1	
1.8 Time of operation:		FL63_2	
1.9 Document no.		FL61_1	
1.10 Document date		FL61_2	
2. Data on the savings and loan association performing the operation:			
2.1 Name of the savings and loan association		FL31	
2.2 No. of settlement account or of cash issuance / reception order; registration date		FL32_1	
2.3 Address of head-offices of the savings and loan association; contact data, phone number, etc.		FL33	
2.4 Series, number and date of ID document, issuer:		FL34	
2.5 Document of representation of savings and loan association; number and date		FL35_1	
2.6 Data of representative (surname and name) // address of domicile or residence (for non-residents) // contact data, phone no. etc.		FL35_2	
2.7 VAT number / IDNP or registration number with RM / IDNP;		FL35_3	
3. Bank of transaction client:			
3.1 Name of client's bank:		FL41_1	
3.2 Head-offices; country of incorporation		FL41_2	
4. Data on the client of the savings and loan association, performing the operation:			
4.1 Type of client: legal entity / private individual, domestic or foreign (J/A; J/S; F/A; F/S)		FL50	
4.2 Name of legal entity or surname and name of private individual		FL51	
4.3 No. of settlement account or of cash issuance / reception order; registration date		FL52_1	
4.4 VAT number of legal entity or private individual (IDNO or IDNP)		FL52_2	

4.4.1 Beneficial owner	FL31_1		
4.4.2 IDNP/personal number of beneficial owner	FL31_2		
4.5 Address of legal entity's head-offices or of private individual's domicile // contact data, phone number, etc.	FL52_3		
4.6 Series, number and date of ID document, issuer	FL53		
4.7 Document of representation of client; number and date	FL45_1		
4.8 Data on representative (surname and name) // address of domicile or residence (for non-residents) // contact data, phone no. etc.	FL45_2		
4.9 VAT number / IDNP or registration number with RM / IDNP;	FL45_3		
5. Reasons for suspicion			
FL16			
6. Name, position and signature of the organization official who recorded the financial operation / ID code:			
6.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
6.2 _____ FL00_8 _____ ID code			

*Annex no. 6 to Order
no. 18 dated June 8th 2018*

Special form for micro-financing organizations

Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Micro-financing organization performing the transaction: FL03	VAT number:	FL04
	Account:	FL05
1. Data on financial operation:		
1.1 Type of operation:	FL11	
1.2 Category of operation (IC/DC)	FL00_6	
1.3 Amount of operation: amount in figures	FL12	
1.4 Code of foreign currency and of national currency	FL13	
1.5 Equivalent in MDL: amount in figures	FL15	
1.6 Destination of payment; description of the object of the contract	FL62	
1.7 Date of operation:	FL63_1	
1.8 Time of operation:	FL63_2	
1.9 Document no.	FL61_1	
1.10 Document date	FL61_2	
2. Data on the micro-financing organization performing the transaction:		

2.1 Name of the micro-financing organization	FL31		
2.2 No. of settlement account or of cash issuance / reception order; registration date	FL32_1		
2.3 Address of head-offices of the micro-financing organization; contact data; phone number, etc.	FL33		
2.4 Series, number and date of ID document, issuer:	FL34		
2.5 Document of representation of the micro-financing organization; number and date	FL35_1		
2.6 Data of representative (surname and name) // address of domicile or residence (for non-residents); contact data, phone no. etc.	FL35_2		
2.7 VAT number / IDNP or registration number with RM / IDNP;	FL35_3		
3. Bank of transaction client:			
3.1 Name of client's bank:	FL41_1		
3.2 Head-offices; country of incorporation	FL41_2		
4. Data on the client of the micro-financing organization, performing the operation:			
4.1 Type of client: legal entity / private individual, domestic or foreign (J/A; J/S; F/A; F/S)	FL50		
4.2 Name of legal entity or surname and name of private individual	FL51		
4.3 No. of settlement account or of cash issuance / reception order; registration date	FL52_1		
4.4 VAT number of legal entity or private individual (IDNO or IDNP)	FL52_2		
4.4.1 Beneficial owner	FL31_1		
4.4.2 IDNP/personal number of beneficial owner	FL31_2		
4.4.3 Country of beneficial owner	FL31_3		
4.5 Address of legal entity's head-offices or of private individual's domicile // contact data, phone number, etc.	FL52_3		
4.5.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33		
4.6 Series, number and date of ID document, issuer	FL53		
4.7 Document of representation of client; number and date	FL45_1		
4.8 Data on representative (surname and name) // address of domicile or residence (for non-residents) // contact data, phone no. etc.	FL45_2		
4.9 VAT number / IDNP or registration number with RM / IDNP;	FL45_3		
5. Reasons for suspicion			
FL16			
6. Name, position and signature of the organization official who recorded the financial operation / ID code:			
6.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
6.2 _____ FL00_8			

_____ ID code

*Annex no. 7 to Order
no. 18 dated June 8th 2018*

Special form for mortgage loan organizations

Form No. _____ FL01	Criterion (L/S/N/T):	FL00_3
Date / Period _____ FL00_1_1, FL00_1_2	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Mortgage loan organization performing the transaction: FL03	VAT number:	FL04
	Account:	FL05
1. Data on financial operation:		
1.1 Type of operation:	FL11	
1.2 Category of operation (<i>IC/DC</i>)	FL00_6	
1.3 Amount of operation: amount in figures	FL12	
1.4 Code of foreign currency and of national currency	FL13	
1.5 Equivalent in MDL: amount in figures	FL15	
1.6 Destination of payment; description of the object of the contract	FL62	
1.7 Date of operation:	FL63_1	
1.8 Time of operation:	FL63_2	
1.9 Document no.	FL61_1	
1.10 Document date	FL61_2	
2. Data on the mortgage loan organization performing the transaction:		
2.1 Name of the mortgage loan organization	FL31	
2.2 No. of settlement account or of cash issuance / reception order; registration date	FL32_1	
2.3 Address of head-offices of the mortgage loan organization; contact data; phone number, etc.	FL33	
2.4 Series, number and date of ID document, issuer:	FL34	
2.5 Document of representation of the mortgage loan organization; number and date	FL35_1	
2.6 Data on representative (surname and name) // address of domicile or residence (for non-residents); contact data, phone no. etc.	FL35_2	
2.7 VAT number / IDNP or registration number with RM / IDNP;	FL35_3	
3. Bank of transaction client:		
3.1 Name of client's bank:	FL41_1	
3.2 Head-offices; country of incorporation	FL41_2	
3.3 Code of the country where the transaction partner's bank is registered	FL00_7	

4. Data on the client of the mortgage loan organization, performing the operation:			
4.1 Type of client: legal entity / private individual, domestic or foreign (J/A; J/S; F/A; F/S)	FL50		
4.2 Name of legal entity or surname and name of private individual	FL51		
4.3 No. of settlement account or of cash issuance / reception order; registration date	FL52_1		
4.4 VAT number of legal entity or private individual (IDNO or IDNP)	FL52_2		
4.4.1 Beneficial owner	FL31_1		
4.4.2 IDNP/personal number of beneficial owner	FL31_2		
4.4.3 Country of beneficial owner	FL31_3		
4.5 Address of legal entity's head-offices or of private individual's domicile // contact data, phone number, etc.	FL52_3		
4.5.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33		
4.6 Series, number and date of ID document, issuer	FL53		
4.7 Document of representation of client; number and date	FL45_1		
4.8 Data on representative (surname and name) // address of domicile or residence (for non-residents); contact data, phone no. etc.	FL45_2		
4.9 VAT number / IDNP or registration number with RM / IDNP;	FL45_3		
5. Reasons for suspicion			
FL16			
6. Name, position and signature of the organization official who recorded the financial operation / ID code:			
6.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
6.2 _____ FL00_8 _____ ID code			

<i>Annex no. 8 to Order no. 18 dated June 8th 2018</i>		
Special form for credit history offices		
Form No. _____ FL01	Criterion (L/S/N/T):	FL00_3
Date / Period _____ FL00_1_1, FL00_1_2	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5

Credit history office recording the operation: FL03	VAT number:	FL04
	Account:	FL05
1. Data on the financial operation recorded by the client as per credit history:		
1.1 Type of operation:	FL11	
1.2 Category of operation (<i>IC/DC</i>)	FL00_6	
1.3 Amount of operation: amount in figures	FL12	
1.4 Code of foreign currency and of national currency	FL13	
1.5 Equivalent in MDL: amount in figures	FL15	
1.6 Destination of payment; description of the object of the contract	FL62	
1.7 Date of operation:	FL63_1	
1.8 Time of operation:	FL63_2	
1.9 Document no.	FL61_1	
1.10 Document date	FL61_2	
2. Data on the client performing the financial operation as per credit history:		
2.1 Name of legal entity	FL31	
2.2 No. of settlement account or of cash issuance / reception order; registration date	FL32_1	
2.4 Address of legal entity's head-offices or of private individual's domicile // contact data, phone number, etc.	FL33	
2.4.1 Beneficial owner	FL31_1	
2.4.2 IDNP/personal number of beneficial owner	FL31_2	
2.4.3 Country of beneficial owner	FL31_3	
2.4.4 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33	
2.5 Series, number and date of ID document, issuer	FL34	
2.6. Representation document; no. and date	FL35_1	
2.7 Data of representative (surname and name) // address of domicile or residence (for non-residents); contact data, phone no. etc.	FL35_2	
2.8 VAT number / IDNP or registration number with RM / IDNP;	FL35_3	
3. Bank of transaction client:		
3.1. Name of client's bank:	FL41_1	
3.2 Head-offices; country of incorporation	FL41_2	
3.3 Code of the country where the transaction partner's bank is registered	FL00_7	
4. Reasons for suspicion		
FL16		
5. Name, position and signature of the organization official who recorded the financial operation / ID code:		

5.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
5.2 _____ FL00_8 _____ ID code			

<i>Annex no. 9 to Order no. 18 dated June 8th 2018</i>			
Special form for insurance market professionals			
Form No. _____ FL01		Criterion (L/S/N/T):	FL00_3
Date / Period _____ FL00_1_1, FL00_1_2		Number of transactions:	FL00_4
		Total amount (in MDL):	FL00_5
Name of organization performing the transaction: FL03		VAT number:	FL04
		Account:	FL05
1. Data on transaction:			
1.1 Type of operation: Insurances (AS)		FL11	
1.2 Amount of operation:		Code of foreign currency and of national currency	Amount (in figures)
		FL13	FL12
1.3 Equivalent in MDL:		MDL/498	FL15
1.4 Date and time of operation:		___ / ___ / 20___, time ____, ____ min	
		FL63_1	FL63_2
1.5 Name, number and date of the document based on which the operation was performed:		FL61_1	FL61_2
2. Insurer (insurance broker) performing the transaction:			
2.1 Name		FL31	
2.2 Account no. and VAT number		FL32_1	FL32_2
2.3 Head-offices		FL33	
2.4 Data on representative:			
a) Representation document, no. and date		FL35_1	
b) Denomination / name:		FL35_2	
c) VAT number / IDNP		FL35_3	
d) Head-offices / domicile		FL35_4	
3. Bank of client:			
3.1 Name of bank:		FL41_1	
3.2 Head-offices and country of incorporation		FL41_2	
3.3 Code of the country where the transaction partner's bank is registered		FL00_7	
4. Data on the client with which transaction is made			
4.1 Type of client (legal entity / private individual):		FL50	
4.2 Denomination / name:		FL51	
4.3 No. of bank account and VAT number / Account no. and IDNP:		FL52_1	FL52_2

4.4 Head-offices / domicile:	FL52_3		
4.4.1 Beneficial owner	FL31_1		
4.4.2 IDNP/personal number of beneficial owner	FL31_2		
4.4.3 Country of beneficial owner	FL31_3		
4.5 Series, number and date of ID document, issuer:	FL53		
4.5.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33		
4.6 Data of representative: e) Representation document; no. and date f) Denomination / name: g) VAT number / IDNP h) Head-offices / domicile	FL45_1 FL45_2 FL45_3 FL45_4		
5. Additional information:			
5.1 Description of operation: insurance (insurance premium received, amount of damages paid), reinsurance (premiums assigned and / or received in insurance), brokerage fees, other transactions	FL62		
5.2 Category of operation (IC/DC):	FL00_6		
6. Reasons for suspicion			
FL16*			
7. Name, position and signature of the organization official who recorded the financial operation / ID code:			
7.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
7.2 _____ FL00_8 ID code			

<i>Annex no. 10 to Order no. 18 dated June 8th 2018</i>		
Special form for foreign exchange units (except banks)		
Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
1. Name of institution that recorded the financial operation: FL03	VAT number:	FL04
2. Data on transaction:		
1.1 Code of the type of operation:	FL11	
1.2 Category of operation VV/ CV (sale of foreign currency / purchase of foreign currency)	FL00_6	
1.3 Amount of operation:	Code of foreign currency and of national currency	Foreign currency received from / delivered to the client

		Amount (in figures)
	FL13	FL12
1.4 Equivalent in MDL	MDL / 498	FL15
1.5 No. and date of the foreign exchange receipt:	FL61_1	
1.6 Date and time of operation:	___/___/20___, time ____, ___ min FL63_1 FL63_2	
3. Data on client, private individual who performed the operation:		
3.1 Surname, name:	FL31	
3.2 Series, number and date of ID document, issuer, IDNP:	FL32_1	
3.2.1 Contact data (phone)	FL34_1	
3.3 Domicile:	FL33	
3.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33	
3.4.1 Beneficial owner	FL31_1	
3.4.2 IDNP/personal number of beneficial owner	FL31_2	
3.4.3 Country of beneficial owner	FL31_3	
3.4.4 Code of the country of beneficial owner	FL00_7_31	
4. Reasons for suspicion		
FL16*		
5. Name, position and signature of organization official who recorded the financial operation / ID code:		
5.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature
5.2 _____ FL00_8 ID code		L.S.

<i>Annex no. 11 to Order no. 18 dated June 8th 2018</i>		
Special form for entities providing investment or trust fund assistance		
Form No. _____ FL01 Date / period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Name of organization performing the transaction: FL03	VAT number:	FL04
	Account	FL05
1. Data on operation (transaction):		
1.1 Type of operation, category of operation	FL11, FL00_6	
1.2 Amount of the operation:	Code of foreign currency and of national currency	Amount (in figures)
	FL13	FL12

1.3 Equivalent in MDL	MDL / 498	FL15	
1.4 Date and time of operation:	____/____/20____, time ____ , ____ min	FL63_1 FL63_2	
1.5 Name, number and date of the document based on which the operation was performed:	FL61_1	FL61_2	
1.6 Destination of payment	FL62		
2. Data on the client performing the financial operation (transaction):			
Type of client (legal entity / private individual):			
2.1 Denomination / name:	FL31		
2.2 No. of bank account and VAT number / Account no. and IDNP:	FL32_1 FL32_2		
2.3 Head-offices / domicile:	FL33		
2.4 Series, number and date of ID document, issuer:	FL34		
2.4.1 Contact data (phone)	FL34_1		
2.5 Data of representative:			
e) Representation document; no. and date	FL35_1		
f) Denomination / name:	FL35_2		
g) VAT number / IDNP	FL35_3		
h) Head-offices / domicile	FL35_4		
2.4.2 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33		
3.1 Beneficial owner	FL31_1		
3.2 IDNP/personal number of beneficial owner	FL31_2		
3.3 Country of beneficial owner	FL31_3		
3.4 Code of the country of beneficial owner	FL00_7_31		
4. Reasons for suspicion			
<i>FL16*</i>			
5. Name, position and signature of organization official who recorded the financial operation (transaction) / ID code:			
4.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
4.2 _____ FL00_8 _____ ID code			

<i>Annex no. 12 to Order no. 18 dated June 8th 2018</i>		
Special form for leasing companies		
Form No. _____ FL01	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4

Date / period _____ FL00_1_1, FL00_1_2	Total amount (in MDL):	FL00_5	
Name of institution that recorded the financial operation: FL03	VAT number:	FL04	
	Account	FL05	
1. Data on transaction:			
1.1 Type of operation (to be indicated), category of operation	FL11, FL00_6		
1.2 Name, number and date of the contract based on which the operation was performed:	FL61 _____ FL61_1 _____ FL61_2		
1.3 Date of registration of operation:	FL63_1 _____		
1.4 Amount of operation in figures:	FL12 _____ FL13		
1.5 Equivalent in MDL / 498	FL15 _____		
1.6 Description of traded object:	FL62 _____		
1.7 Contractual conditions	Interest rate, amount of first payment	FL00_10	
	Contract start date	FL02_1	
	Contract end date	FL02_2	
2. Data on the client performing the operation (transaction):			
Type of client (legal entity / private individual):	FL30		
2.1 Denomination / name:	FL31		
2.2 No. of bank account and VAT number / Account no. and IDNP:	FL32_1 FL32_2		
2.3 Head-offices / domicile:	FL33		
2.4 Series, number and date of ID document, issuer:	FL34		
2.4.1 Contact data (phone)	FL34_1		
2.4.2 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33		
2.5 Data of representative:			
e) Representation document; no. and date	FL35_1		
f) Denomination / name:	FL35_2		
g) VAT number / IDNP	FL35_3		
h) Head-offices / domicile	FL35_4		
2.6 Beneficial owner	FL31_1		
2.7 IDNP/personal number of beneficial owner	FL31_2		
2.8 Country of beneficial owner	FL31_3		
2.9 Code of the country of beneficial owner	FL00_7_31		
3. Reasons for suspicion			
FL16			
4. Name, position and signature of the organization official who recorded the operation (transaction) / ID code:			
4.1 _____ Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
4.2 _____ ID code	FL00_8		

Special form for real estate agents

Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Name of institution that recorded the transaction: FL03	VAT number:	FL04
	Account:	FL05
1. Data on transaction:		
1.1 Type of operation of client 1, category of operation:	FL11, FL00_6	
1.2 Name of the document	FL61	
1.3 Object of the legal document:	FL00_12	
1.4 Date and time of operation – (day/month/year, hour and minute to be shown in figures)	FL63_1	FL63_2
1.5 Amount of the operation in figures, Code of foreign currency and of national currency:	FL12, FL13	
1.6 Equivalent in MDL	FL15	
1.7 Description of the traded object:	FL62	
2. Data on client (person who assigns):		
Type of client (legal entity / private individual):	FL30	
2.1 Denomination / surname, name:	FL31	
2.2 Account no. and VAT number / Account no. and / or IDNP:	FL32_1 FL32_2	
2.3 Head-offices / domicile:	FL33	
2.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33	
2.3.2 Contact data (phone)	FL34_1	
2.4 Series, number and date of ID document, issuer:	FL34	
2.5 Beneficial owner	FL31_1	
2.6 IDNP/personal number of beneficial owner	FL31_2	
2.7 Country of beneficial owner	FL31_3	
2.8 Code of the country of beneficial owner	FL00_7_31	
Data on representative:		
2.9. Representation document, no. and date	FL35_1	
2.10 Denomination / name	FL35_2	
2.11 VAT number / IDNP	FL35_3	
2.12 Head-offices / domicile	FL35_4	
3. Data on the transaction partner (the person that acquires or to whom the titles are assigned):		
Type (legal entity / private individual):	FL50	

3.1 Denomination / name:	FL51		
3.2 No. of bank account and VAT number / Account no. and IDNP:	FL52_1 FL52_2		
3.3 Head-offices / domicile:	FL52_3		
3.4 Series, number and date of ID document, issuer:	FL53		
2.9 Data on representative:			
3.5. Representation document, no. and date	FL45_1		
3.6 Denomination / name	FL45_2		
3.7 VAT number / IDNP	FL45_3		
3.8 Head-offices / domicile	FL45_4		
4. Reasons for suspicion			
FL16			
5. Name, position and signature of the person who recorded the financial operation / ID code:			
5.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
5.2 _____ ID code FL00_8			

<i>Annex no. 14 to Order no. 18 dated June 8th 2018</i>			
Special for casinos (including internet casinos), leisure facilities provided with gambling machines, institutions organizing and performing lotteries or gambling activities			
Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):		FL00_3
	Number of transactions:		FL00_4
	Total amount (in MDL):		FL00_5
Reporting organization performing the transaction: FL03	VAT number:		FL04
	Account:		FL05
1. Data on transaction:			
1.1 Type of operation (“3” or “4”), category of operation	FL11, FL00_6		
1.2 Amount of operation:	Code of foreign currency and of national currency	Amount (in figures)	Amount (in words)
	FL13	FL12	
1.3 Equivalent in MDL	FL15		
1.4 Date and time of operation:	FL63_1	FL63_1	
1.5. Name, number and date of the document based on which the operation was performed:	FL61_1 FL61_1	FL61_2	

2. Data on the client performing the operation (transaction)			
Type of client (legal entity / private individual):	FL30		
2.1 Denomination/name:	FL31		
2.2 Account no. and VAT number / Account no. and IDNP	FL32_1 FL32_2		
2.3 Head-offices / domicile:	FL33		
2.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33		
2.3.2 Contact data (phone)	FL34_1		
2.4 Series, number and date of ID document, issuer:	FL34		
2.5 Data of representative: e) Representation document; no. and date f) Denomination / name: g) VAT number / IDNP h) Head-offices / domicile	FL35_1 FL35_2 FL35_3 FL35_4		
2.6 Beneficial owner	FL31_1		
2.7 IDNP/personal number of beneficial owner	FL31_2		
2.8 Country of beneficial owner	FL31_3		
2.9 Code of the country of beneficial owner	FL00_7_31		
3. Destination of payment			
FL62			
4. Reasons for suspicion			
FL16			
5. Name, position and signature of the organization official who recorded the financial operation / ID code:			
5.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
5.2 _____ FL00_8 _____ ID code			

*Annex no. 15 to Order
no. 18 dated June 8th 2018*

**Special form for dealers of
precious materials or precious stones**

Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Organization performing the transaction:	VAT number:	FL04

<i>FL03</i>		Account:	FL05
1. Data on transaction:			
1.1 Type of operation:		FL11	
1.2 Type of operation, sale / purchase of precious stones (VG/CG)		FL00_6	
1.3 Object of the operation (destination of payment):		FL62	
1.4 Par / current value of the object of operation (as per expert report):		FL00_11	
1.5 Code of foreign currency and of national currency, amount of operation performed (in figures)		FL13	FL12
1.6 Date and time of registration of operation – (day/month/year, hour and minute to be shown in figures)		FL63_1	FL63_2
1.7 Equivalent in MDL / 498		FL15	
2. Data on client:			
Type of client (legal entity / private individual):		FL30	
2.1 a) Name of the legal entity b) Surname and name of private individual		FL31	
2.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual		FL32_1 FL32_2	
2.3 a) Head-offices of legal entity b) Domicile of private individual		FL33	
2.3.1 Code of the country of origin of the ID document presented to the reporting entity		FL00_7_33	
2.3.2 Contact data (phone)		FL34_1	
2.4 Series, number and date of ID document, issuer		FL34	
2.5 Beneficial owner		FL31_1	
2.6 IDNP/personal number of beneficial owner		FL31_2	
2.7 Country of beneficial owner		FL31_3	
2.8 Code of the country of beneficial owner		FL00_7_31	
2.9 Data on representative of the reporting entity: a) Representation document, no. and date b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile		FL35_1	FL35_2
		FL35_3	FL35_4
3. Reasons for suspicion			
FL16			
4. Name, position and signature of the reporting entity official who recorded the financial operation / ID code:			
4.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
4.2 _____ FL00_8 _____ ID code			

Special form for notaries and other freelancers		
Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Private individual / legal entity performing the operation: FL03	VAT number / license number:	FL04
	Account:	FL05
1. Data on the legal document:		
1.1 Type of contract:	FL11, FL00_6	
1.2 Name of the document	FL61	
1.3 Object of the legal document:	FL00_12	
1.4 Date and time of registration of legal document – (day/month/year, hour and minute to be shown in figures)	FL63_1	FL63_2
1.5 Amount of transaction performed (in figures and words)	FL12	FL13
1.6 Equivalent in MDL (MDL / 498)	FL15	
1.7 Description of the traded object	FL62	
2. Data on client 1 (seller):		
Type of client (legal entity / private individual):	FL30	
2.1 a) Name of the legal entity b) Surname and name of private individual	FL31	
2.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual	FL32_1 FL32_2	
2.3 a) Head-offices of legal entity b) Domicile of private individual	FL33	
2.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33	
2.3.2 Contact data (phone)	FL34_1	
2.4 Series, number and date of ID document, issuer	FL34	
2.5 Beneficial owner	FL31_1	
2.6 IDNP/personal number of beneficial owner	FL31_2	
2.7 Country of the beneficial owner	FL31_3	
2.8 Code of the country of beneficial owner	FL00_7_31	
2.9 Data of representative of client 1: a) Representation document, no. and date b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile	FL35_1 FL35_2 FL35_3 FL35_4	
3. Data on client 2 (buyer):		
Type of client (legal entity / private individual):	FL50	
3.1 a) Name of the legal entity b) Surname and name of private individual	FL51	
3.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual	FL52_1 FL52_2	

3.3 a) Head-offices of the legal entity b) Domicile of private individual	FL52_3		
3.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33		
3.3.2 Contact data (phone)	FL34_1		
3.4 Series, number and date of ID document, issuer	FL53		
3.5 Beneficial owner	FL31_1		
3.6 IDNP/personal number of beneficial owner	FL31_2		
3.7 Country of the beneficial owner	FL31_3		
3.8 Code of the country of beneficial owner	FL00_7_31		
2.9 Data of representative of client 2: a) Representation document, no. and date of issuance b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile	FL45_1 FL45_2 FL45_3 FL45_4		
4. Reasons for suspicion			
FL16*			
5. Name, position and signature of the reporting entity official who recorded the financial operation / ID code:			
5.1 _____ Surname, name	FL71_1	_____ FL71_2 Position	_____ Signature
5.2 _____ _____ ID code	FL00_8		

*Annex no. 17 to Order
no. 18 dated June 8th 2018*

Special form for lawyers

Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Private individual / legal entity performing the operation: FL03	VAT number / license number:	FL04
	Account:	FL05
1. Data on the legal document:		
1.1 Type of operation of client 1, category of operation:	FL11, FL00_6	
1.2 Name of the document	FL61	
1.3 Object of the legal document:	FL00_12	
1.4 Date and time of registration of legal document – (day/month/year, hour and minute to be shown in figures)	FL63_1	FL63_2
1.5 Amount of the transaction performed (in figures and words)	FL12	FL13
1.6 Equivalent in MDL (MDL / 498)	FL15	
1.7 Description of the contract	FL62	

2. Data on client 1 (seller):	
Type of client (legal entity / private individual):	FL30
2.1 a) Name of the legal entity b) Surname and name of private individual	FL31
2.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual	FL32_1 FL32_2
2.3 a) Head-offices of the legal entity b) Domicile of private individual	FL33
2.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33
2.3.2 Contact data (phone)	FL34_1
2.4 Series, number and date of ID document, issuer	FL34
2.5 Beneficial owner	FL31_1
2.6 IDNP/personal number of beneficial owner	FL31_2
2.7 Country of the beneficial owner	FL31_3
2.8 Code of the country of beneficial owner	FL00_7_31
2.9 Data of representative of the reporting entity: a) Representation document, no. and date b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile	FL35_1 FL35_2 FL35_3 FL35_4
3. Data on client 2 (buyer):	
Type of client (legal entity / private individual):	FL50
3.1 a) Name of the legal entity b) Surname and name of private individual	FL51
3.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual	FL52_1 FL52_2
3.3 a) Head-offices of the legal entity b) Domicile of private individual	FL52_3
3.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33
3.3.2 Contact data (phone)	FL34_1
3.4 Series, number and date of ID document, issuer	FL53
3.5 Beneficial owner	FL31_1
3.6 IDNP/personal number of beneficial owner	FL31_2
3.7 Country of the beneficial owner	FL31_3
3.8 Code of the country of beneficial owner	FL00_7_31
2.9 Data of representative of the reporting entity: a) Representation document, no. and date of issuance b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile	FL45_1 FL45_2 FL45_3 FL45_4
4. Reasons for suspicion	
FL16*	
5. Name, position and signature of the reporting entity official who recorded the financial operation / ID code:	

5.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
5.2 _____ FL00_8 _____ ID code			

*Annex no. 18 to Order
no. 18 dated June 8th 2018*

Form for audit entities and legal entities and sole proprietorships that provide accounting services

Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Name of institution performing the transaction: _____ FL03	VAT number / license number:	FL04
	Account:	FL05
1. Data on transaction:		
1.1 Type of operation, category of operation (intermediation / operation):	FL11, FL00_6	
1.2 Date and time of registration of the operation – (day/month/year, hour and minute to be shown in figures)	FL63_1	FL63_2
1.3 Code of foreign currency and of national currency, amount of operation performed (in figures)	FL13	FL12
1.4 Equivalent in MDL (MDL / 498)	FL15	
2. Data on client:		
Type of client (legal entity / private individual):	FL30	
2.1 a) Name of the legal entity b) Surname and name of private individual	FL31	
2.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual	FL32_1 FL32_2	
2.3 a) Head-offices of the legal entity b) Domicile of private individual	FL33	
2.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33	
2.3.2 Contact data (phone)	FL34_1	
2.4 Series, number and date of ID document, issuer	FL34	
2.5 Beneficial owner	FL31_1	
2.6 IDNP/personal number of beneficial owner	FL31_2	
2.7 Country of the beneficial owner	FL31_3	
2.8 Code of the country of beneficial owner	FL00_7_31	
2.9 Data of representative of the reporting entity: a) Representation document, no. and date b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile	FL35_1 FL35_2 FL35_3 FL35_4	
3. Data on transaction partner (beneficiary):		
Type (legal entity / private individual):	FL50	

3.1 a) Name of the legal entity b) Surname and name of private individual	FL51		
3.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual	FL52_1 FL52_2		
3.3 a) Head-offices of the legal entity b) Domicile of private individual	FL52_3		
3.3.1 Code of partner's country	FL00_7_52		
3.4 Series, number and date of ID document, issuer	FL53		
3.5 Data of representative of the reporting entity: a) Representation document, no. and date b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile	FL45_1 FL45_2 FL45_3 FL45_4		
4. Reasons for suspicion			
FL16			
5. Name, position and signature of the reporting entity official who recorded the financial operation / ID code:			
5.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L.S.
5.2 _____ FL00_8 ID code			

*Annex no. 19 to Order
no. 18 dated June 8th 2018*

**Special form for organizations authorized to deliver services related to
exchange of money orders or transfer of goods**

Form No. _____ FL01 Date / Period _____ FL00_1_1, FL00_1_2	Criterion (L/S/N/T):	FL00_3
	Number of transactions:	FL00_4
	Total amount (in MDL):	FL00_5
Name of institution performing the transaction: FL03	VAT number / license number:	FL04
	Account:	FL05
1. Data on transaction:		
1.1 Type of operation:	FL11	
1.2. Category of the operation, release / transfer (EL/TR)	FL00_6	
1.3 Date and time of registration of operation – (day/month/year, hour and minute to be shown in figures)	FL63_1	FL63_2
1.4 Amount of operation performed (in figures)	FL12	
1.5 Code of foreign currency and of national currency	FL13	
1.6 Equivalent in MDL	FL15	
1.7 Name, number and date of the document based on which the operation was performed:	FL61_1	FL61_2
2. Data on client:		
Type of client (legal entity / private individual):	FL30	

2.1 a) Name of the legal entity b) Surname and name of private individual	FL31		
2.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual	FL32_1 FL32_2		
2.3 a) Head-offices of the legal entity b) Domicile of private individual	FL33		
2.3.1 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33		
2.3.2 Contact data (phone)	FL34_1		
2.4 Series, number and date of ID document, issuer	FL34		
2.5 Beneficial owner	FL31_1		
2.6 IDNP/personal number of beneficial owner	FL31_2		
2.7 Country of the beneficial owner	FL31_3		
2.8 Code of the country of beneficial owner	FL00_7_31		
2.9 Data of representative of the reporting entity: a) Representation document, no. and date b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile	FL35_1 FL35_2 FL35_3 FL35_4		
3. Data on partner (beneficiary):			
Type of partner (legal entity / private individual):	FL50		
3.1 a) Name of the legal entity b) Surname and name of private individual	FL51		
3.2 a) Account no. and VAT number of legal entity b) Account no. and IDNP of private individual	FL52_1 FL52_2		
3.3 a) Head-offices of legal entity b) Domicile of private individual	FL52_3		
3.3.1 Code of partner's country	FL00_7_52		
3.4 Series, number and date of ID document, issuer	FL53		
3.5 Data of representative of the reporting entity: a) Representation document, no. and date b) Denomination / name c) VAT number / IDNP d) Head-offices / domicile	FL45_1 FL45_2 FL45_3 FL45_4		
4. Reasons for suspicion			
FL16			
5. Name, position and signature of the reporting entity official who recorded the financial operation / ID code:			
5.1 _____ Surname, name	FL71_1	_____ FL71_2 Position	_____ Signature
5.2 _____ ID code	FL00_8		

*Annex no. 20 to Order
no. 18 dated June 8th 2018*

Special form for providers of payment services and issuers of electronic currency

	Criterion (L/S/N/T):	FL00_3
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Form No. _____ FL01	Number of transactions:	FL00_4
Date / period _____ FL00_1_1, FL00_1_2	Total amount (in MDL):	FL00_5
Financial organization performing the transaction: FL03	VAT number:	FL04
	Account:	FL05
1. Data on financial operation:		
1.1 Type of operation, category of operation	FL11	FL00_6
1.2 Amount of operation:	Code of foreign currency and of national currency	Amount (in figures)
	FL13	FL12
Equivalent in MDL	FL15	
Internet Protocol address from where banking operations are managed	FL17	
1.3 Destination of payment and object of the contract:	FL62	
1.4 Date and time of operation:	FL63_1	FL63_2
1.5 Name, no. and date of document:	FL61_1	FL61_2
2. Data on the client performing the operation		
Type of client (legal entity / private individual):	FL30	
2.1 Denomination / name:	FL31	
2.3 Account no. / ID no. of cash-in terminal	FL32_1	
VAT number or IDNP	FL32_2	
2.4 Head-offices / domicile / location of cash-in terminal	FL33	
2.4 Beneficial owner	FL31_1	
2.4.1 IDNP/personal number of beneficial owner	FL31_2	
2.4.2 Country of the beneficial owner	FL31_3	
2.4.3 Code of the country of beneficial owner	FL00_7_31	
2.5 Code of the country of origin of the ID document presented to the reporting entity	FL00_7_33	
2.6 Series, number and date of ID document, issuer:	FL34	
2.7 Contact data (phone, e-mail)	FL34_1	
2.8 Data of client's representative:		
a) Representation document, no. and date	FL35_1	
b) Denomination / name:	FL35_2	
c) VAT number / IDNP	FL35_3	
d) Head-offices / domicile	FL35_4	
3. Intermediators:		
3.1 Name of intermediating company	FL46	
3.2 Company account / clearing account	FL46_1	
3.3 Head-offices and country of incorporation	FL46_2	
3.4. Code of country	FL46_3	
4. Data on transaction partner:		
Type of partner (legal entity / private individual):	FL50	
4.1 Denomination / name:	FL51	
4.2 Account no. / ID no. of cash-in terminal	FL52_1	
4.3 VAT number / IDNP:	FL52_2	
4.4 Head-offices/domicile/location of cash-in terminal	FL52_3	

4.5 Code of partner's country:		FL00_7_52	
4.6 Series, number and date of ID document, issuer:		FL53	
4.7 Data of partner's representative:			
a) Representation document, no. and date		FL45_1	
b) Denomination / name:		FL45_2	
c) VAT number / IDNP		FL45_3	
d) Head-offices / domicile		FL45_4	
5. Reasons for suspicion			
FL16			
6. Name, position and signature of the organization official who recorded the financial operation / ID code:			
6.1 _____ FL71_1 Surname, name	_____ FL71_2 Position	_____ Signature	L. S.
6.2 _____ FL00_8 ID code			

<i>Annex no. 21 to Order no. 18 of 18 June 2018</i>			
Special form for reporting of suspicious activities			
SF01 Name of reporting entity		SF02 Reporting date	
SF03 Form no.			
SF04 Type of client	SF05 Name of subject		SF06.1 VAT number
SF6.2 Number of subject's bank account			
* SF07 Cash	SF07.1 Number of operations	* SF08 Card transfers	SF08.1 Number of operations
* SF09 Bank transfer	SF09.1 Number of operations	* SF10 Fast transfers	SF10.1 Number of operations
* SF11 Other types of operations	SF11.1 Number of operations	SF12 Jurisdictions involved	
SF13 Period of reference		SF14 Field: e.g. agriculture, commercial, IT services, industrial, cars, mineral resources, etc.	

SF15 Description of suspicion

This description must include:

- brief overview of events, subjects identified;
- period of reference;
- suspicions identified based on the Guide of suspicious activities;
- other suspicions specific to suspicious activity identified;
- data concerning the date of initiation of business relations between the reporting entity and subject;
- beneficial owner identified by the reporting entity, etc.

SF16 List of documents and/or information used in identification of suspicious activity
PS: Copies of documents and information used shall be attached as annex to Report

SF17 List of bank and non-bank transactions used in identification of suspicious activity
PS.: Presentation of transactions shall be attached and presented as per annexes to Order no. ___ dated 2018, including those that do not fall under the Law on prevention and control of money laundering

SF18 Surname, name of responsible official of the reporting entity”

SF19 Contact data of responsible official

SF20 Signature of official
PS: Only in information is presented on hard copy

* Fields in form SF07, SF08, SF09, SF10, SF11 – shall be checked depending on the type of bank operation performed

*Annex no. 22 to Order
no. 18 of 18 June 2018*

on Guideline for filling-in
and submission of special forms
for activities or transactions falling under the
Law on prevention and control of
money laundering and terrorism financing

**Format of data required for submission of form in electronic table
(NAME (of reporter) YYMMDD (start) YYMMDD (end))**

Field	Data type	Length	Decimal units	Description
FL000*	N	60		Unique number of operation
<i>FL00_1_1</i>	D			Reporting period - period start date (entered automatically)
<i>FL00_1_2</i>	D			Reporting period - period end date
<i>FL01</i>	N	50		Form number
<i>FL01_A</i>	N	4		Order number of operation in form (for “S” and “L” cases = “0001”), for “N” case equal to the order number made of 4 digits with zeros. (e.g. 0012)
<i>FL02_1</i>	D			Registration date - start
<i>FL02_2</i>	D			Registration date - end (for SUSPICIOUS and LIMITED operations parameter FL02_2 is empty)

<i>FL03</i>	N	30		Short name of reporter or BIC code (for bank organizations)
<i>FL04</i>	N	20		VAT number of reporting entity
<i>FL05</i>	N	9		Account of reporting entity
<i>FL11</i>	N	5		<p>Type of operation</p> <p>1 – internal transaction by bank transfer 2 – external transaction by bank transfer 3 – cash deposit 4 – cash withdrawal 5 – foreign exchange 6 – loan award 7 – loan repayment 8 – operations with foreign card 9 – operations using fast payment systems 31 – replacement of gambling or betting accounts* 41 – withdrawal of financial means from gambling or betting accounts* 51 – acquisition of electronic currency* 52 – sale of electronic currency* 53 – acquisition of cryptocurrency* 54 – sale of cryptocurrency* 0 – other operations</p> <p>*operations of type 31, 41, 51, 52, 53, 54 shall be used only by non-bank providers of payment services and electronic currency issuers</p>
<i>FL12</i>	N	20	2	Amount in figures
<i>FL13</i>	N	3		Currency code (three digits, as per the nomenclature of the National Bank of Moldova)
<i>FL15</i>	N	20	2	Equivalent in MDL (empty for the CASH form, for SUSPICIOUS and LIMITED operations it is FL00_5)
<i>FL16</i>	N	150		Brief description of the reasons for suspicion (as per the Guide of suspicious activities or transactions)
<i>FL17</i>	N	150		Internet Protocol address from where banking operations are managed
<i>FL30</i>	N	3		<p>CLIENT TYPE (domestic / foreign private individual FA/FS, domestic / foreign legal entity JA/JS) FA – private individual citizen of Republic of Moldova FS – private individual not citizen of Republic of Moldova JA – legal entity and private individual performing entrepreneurial activity, registered in Republic of Moldova JS – non-resident legal entities</p>
<i>FL31</i>	N	240		CLIENT: 2.1 a) Name of economic agent / Surname and name of private individual:
<i>FL31_1</i>	C	240		CLIENT: Beneficial owner (when the client has more beneficial owners, the surname and name shall be indicated in the same field, delimited by comma. This procedure shall also be applied when entering information in FL31_2, FL31_3 and FL00_7_31. In case when legislation establishes that the client cannot have more than one beneficial owner, the reporting entity shall not fill in the fields in FL31_1, FL31_2, FL31_3 and FL00_7_31).
<i>FL31_2</i>	C	20		CLIENT: Date, month, year of birth
<i>FL31_3</i>	C	25		CLIENT: Country of beneficial owner
<i>FL00_7_31</i>	N	3		CLIENT: Code of the country of beneficial owner
<i>FL32_1</i>	N	34		CLIENT: Account no.
<i>FL32_2</i>	N	20		CLIENT: VAT number / IDNP

FL33	N	240		CLIENT: Head-offices / domicile
FL34_1	C	35		CLIENT: Contact data (phone, e-mail, etc.)
FL00_7_33	N	3		CLIENT: Code of the country of origin of the ID document presented to the reporting entity
FL34	N	50		CLIENT: Series, number and date of ID document, issuer:
FL35_1	N	40		CLIENT'S REPRESENTATIVE: Representation document, no. and date
FL35_2	N	240		CLIENT'S REPRESENTATIVE: Denomination / name
FL35_3	N	20		CLIENT'S REPRESENTATIVE: VAT number / IDNP
FL35_4	N	240		CLIENT'S REPRESENTATIVE: Head-offices / domicile
FL41_1	N	50		PARTNER: Name of partner's bank:
FL41_2	N	240		PARTNER: Head-offices of bank, name of country
FL00_7	N	3		PARTNER: Code of the country where the transaction partner's bank is registered
FL45_1	N	40		PARTNER'S REPRESENTATIVE: Representation document, no. and date
FL45_2	N	240		PARTNER'S REPRESENTATIVE: Denomination / name
FL45_3	N	20		PARTNER'S REPRESENTATIVE: VAT number / IDNP
FL45_4	N	240		PARTNER'S REPRESENTATIVE: Head-offices / domicile
FL46	C	240		Name of intermediating company
FL46_1	C	30		Company account/clearing account
FL46_2	C	240		Head-offices and country of incorporation
FL46_3	N	3		Code of the country
FL42	N	40		PARTNER: Corresponding account of bank
FL50	N	3		PARTNER TYPE: (domestic / foreign private individual FA/FS, domestic / foreign legal entity JA/JS)
FL51	N	240		PARTNER: Name of economic agent / Surname and name of private individual
FL52_1	N	34		PARTNER: Account no.
FL52_2	N	20		PARTNER: VAT number / IDNP
FL52_3	N	240		PARTNER: Head-offices / domicile
FL00_7_52	N	3		PARTNER: Code of country
FL53	N	50		PARTNER: Series, number and date of ID document, issuer
FL61	N	240		Name of document based on which the operation is performed
FL61_1	N	20		Document no.
FL61_2	D			Document date
FL62	N	250		Destination of payment or description of the object of operation
FL63_1	D			Date of the operation
FL63_2	N	8	0	Time of the operation (recorded in bank's balance)
FL71_1	N	50		Name of the person who recorded the operation
FL71_2	N	35		Position of the person who recorded the operation
FL00_3	N	1		Criterion (L – limited / S – suspicious / N – cash / A – transactions part of a suspicious activity / T – terrorism financing)
FL00_4	N	5		Number of transactions (for “L”, “S”, “T” = 1, for “N” > 1)

<i>FL00_5</i>	N	20	2	Total amount (in MDL) of the operation (Suspicious, Limited, Suspicious activity, Terrorism financing) or of operations in case of cash
<i>FL00_6</i>	N	3		Category of operation in relation to client's account (account input - IC / account output - DC)
<i>FL00_8*</i>	N	50		Personal number of the person who recorded the operation
<i>FL00_9</i>	N	50		VAT number of issuer (IDNO code) // name of issuer of security // ISIN code // par value of one security (in figures in MDL) // total number of securities // share capital amount
<i>FL00_10</i>	N	15		Interest rate, amount of first payment
<i>FL00_11</i>	N	15		Par/current value of the object of operation (as per expert report):
<i>FL00_12</i>	N	30		Object of the legal document

* Method of filling in of marked fields shall be established by the reporting entity.

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